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PATENT

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NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on March 28, 2001 under "EXPRESS MAIL" mailing label number **EL742732875US**.

Janet M. Stevens
Janet M. Stevens

Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Inventor 2: Masashi FUKUYAMA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Title: OPTICAL FIBER ARRAY

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 19 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 6 Sheets of Formal Drawings (Figs. 1-7(b))
- ☒ Petition to Accept Color Drawings (37 CFR 1.84(a)(2))
- ☒ Figs. 2a-2h (in triplicate)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☒ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and certified copies of Japanese Application 2000-093,230 filed March 30, 2000 and Japanese Application 2001-042,293 filed February 19, 2001
- ☒ An Assignment Transmittal and Assignment of the invention to NGK Insulators, Ltd.

3. The correspondence address for this application is:

Stephen P. Burr
 Burr & Brown
 P.O. Box 7068
 Syracuse, New York 13261-7068

Telephone: (315) 233-8300
 Facsimile: (315) 233-8320

4. Small Entity Status

☐ Applicant claims small entity status. See 37 CFR § 1.27.

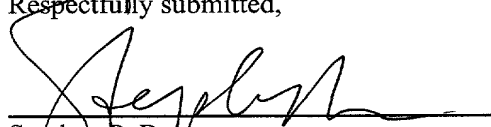
5. The filing fee has been calculated as shown below:

A.	Filing Fee	
	<input checked="" type="checkbox"/> Original Patent Application	\$ <u>710.00</u>
	<small>(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)</small>	
B.	Fees for Claims (6 Claims; 1 Indep.)	
	<input type="checkbox"/> each independent claim in excess of 3	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00)</small>	
	<input type="checkbox"/> each claim in excess of 20	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)</small>	
	<input type="checkbox"/> multiple dependent claim(s)	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00)</small>	
C.	Surcharge fees	
	<input checked="" type="checkbox"/> late payment of filing fee	\$ <u>130.00</u>
	<small>(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)</small>	
	and/or	
	<input checked="" type="checkbox"/> late filing of original declaration or oath	\$ <u>.00</u>
	<small>(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)</small>	
	<i>NOTE. If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid 37 C.F.R. 1.16(e).</i>	
D.	<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	\$ <u>.00</u>
	<small>(37 C.F.R. 1.17(h) and 1.47--\$130.00)</small>	
E.	<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language	\$ <u>.00</u>
	<small>(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)</small>	
F.	<input checked="" type="checkbox"/> Assignment Fee	\$ <u>40.00</u>
	Total Fees Due	\$ 880.00

**6. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

March 28, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

INVENTOR INFORMATION

Inventor One Given Name:: Akira
Family Name:: MATSUMOTO
Postal Address Line One:: c/o NGK Insulators, Ltd.
Postal Address Line Two:: 2-56, Suda-Cho, Mizuho-Ku
City:: Nagoya
Country:: Japan
Postal or Zip Code:: 467-8530
City of Residence:: Nagoya
Country of Residence:: Japan
Citizenship Country:: Japan
Inventor Two Given Name:: Masashi
Family Name:: FUKUYAMA
Postal Address Line One:: c/o NGK Insulators, Ltd.
Postal Address Line Two:: 2-56, Suda-Cho, Mizuho-Ku
City:: Nagoya
Country:: Japan
Postal or Zip Code:: 467-8530
City of Residence:: Inuyama
Country of Residence:: Japan
Citizenship Country:: Japan

CORRESPONDENCE INFORMATION

Correspondence Customer Number:: 025191
Fax One:: (315) 233-8320
Electronic Mail One:: vananl@macklaw.com

APPLICATION INFORMATION

Title Line One:: OPTICAL FIBER ARRAY
Total Drawing Sheets:: 6
Formal Drawings?: Yes
Application Type:: Utility
Docket Number:: 939_023
Secrecy Order in Parent Appl.?: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 25191
Registration Number One:: 32970
Registration Number Two:: 32402
Registration Number Three:: 44963

PRIOR FOREIGN APPLICATIONS

Foreign Application One:: 2000-093,230
Filing Date:: 03-30-2000

Country:: Japan

Priority Claimed:: Yes

Foreign Application Two:: 20001-042,293

Filing Date:: 02-19-2001

Country:: Japan

Priority Claimed:: Yes

Source:: PrintEFS Version 1.0.1

SPECIFICATION

TITLE OF THE INVENTION

OPTICAL FIBER ARRAY

BACKGROUND OF THE INVENTION

FIELD OF THE INVENTION

The present invention relates to an optical fiber array having a holding member mounted thereto, and having optical fibers arranged and held with predetermined intervals.

More particularly, the present invention relates to a technique of preventing release of the holding member.

DESCRIPTION OF RELATED ART

Conventionally, a fiber array having optical fibers arranged therein and fixed thereto is formed as shown in FIG. 4. In FIG. 4, reference numeral 12 denotes a holding member that consists of a substrate 13 and a cover plate 15. A plurality of V grooves 14 having a V shaped section (housing grooves) are formed at the substrate, optical fibers (optical fiber bare portions) 1 are housed in the individual V grooves 14, and an adhesive is filled. Then, the cover plate 15 is covered to pinch the optical fibers 1, and the optical fibers 1 are adhered and fixed into the V grooves 14. In addition, reference numeral 1a denotes covered optical

fibers, where a plurality of the optical fibers 1a are coupled with each other to form a fiber ribbon 16.

The holding member 12 is formed by processing a glass plate, for example, and the V grooves 14 are formed in parallel on a substrate top which is ground to a mirror face. In addition, a space between the adjacent V grooves is small so that an adhesive face is widely formed at the right and left ends of a bundle of the V grooves in order to ensure adhesion between the substrate 13 and the cover plate 15.

However, the above described fiber array is often placed under outdoor severe environment. That is, the fiber array is subject to from a high temperature of 60 °C to a low temperature of -40 °C, and is subjected to dry environment like a desert and humid high temperature environment. The optical fiber array must maintain constant and proper characteristics under such severe environment for a long time. However, in the case where the above fiber array is subject to such environment for a long time, there has occurred a phenomenon that the substrate 13 and the cover plate 15 fixing the optical fibers 1 are released because of variation with an elapse of time, and the optical fibers are unreliably fixed.

In an optical fiber, if an optical axis deviates

from a predetermined position, a transmission loss is increased between this optical fiber and optical part being connected therewith. Thus, a very high position precision of 0.5 micron or less is required. Therefore, if the above release phenomenon occurs, a displacement occurs, resulting in degraded transmission characteristics. In addition, finally, an optical fiber may slip off from the substrate.

SUMMARY OF THE INVENTION

The present invention has been made in order to solve the foregoing problem. It is an object of the present invention to provide an optical fiber array in which the release of the holding member or the like hardly occurs even under severe environment, and proper characteristics are maintained.

In order to solve the foregoing problem, according to a first aspect of the present invention, there is provided an optical fiber array comprising a holding member that consists of a substrate forming a sectional V shaped housing groove for housing an optical fiber on a top face and a cover plate covering the top face of the substrate, so as to house the tip of the optical fiber there in, with the bare portion of the optical fiber fixed in the housing groove by filling

an adhesive between the substrate and a cover plate so as to fix the optical fiber to the housing groove, wherein a distance between a center axis of the housing groove that is at an outermost portion and an end of the substrate is 5 times or more than the radius of the optical fiber, and a distance Y between the substrate and the cover plate is $L / 6 \leq Y \leq L$ relevant to a distance L from a contact point between the housed optical fiber and the housing groove to the cover plate.

According to a second aspect of the present invention, there is provided an optical fiber array according to the first aspect, wherein a height of a site protruding from the substrate of the optical fiber housed in the housing groove is substantially equal to the distance Y between the substrate and the cover plate.

According to a third aspect of the present invention, there is provided an optical fiber array according to the first or second aspect, wherein the distance Y between the substrate and the cover plate is $L / 4 \leq Y \leq L$.

According to a fourth aspect of the present invention, there is provided an optical fiber array according to any of the first to third aspects, wherein the adhesive is epoxy-based.

According to a fifth aspect of the present invention, there is provided an optical fiber array according to any of the first to fourth aspects, wherein the width of the cover plate is different from that of the substrate.

According to a sixth aspect of the present invention, there is provided an optical fiber array according to any of the first to fifth aspects, wherein a placement face for placing a cover portion of the optical fiber is provided at the rear part of a housing groove forming face, and a step is provided between the housing groove forming face and the placement face, thereby placing and housing the optical fiber.

The Inventor has found the following through testing. That is, the above release is caused by a thin adhesive layer between a substrate and a cover plate that spreads to the outside of the outermost portion of a housing groove. Namely, in the case where a circular optical fiber is housed in a V groove, there occurs contraction in curing an adhesive caused by an adhesive stay portion at the periphery of optical fibers (in the housing groove); there is a difference in thermal expansion rate between the adhesive and the substrate or between the adhesive and the cover plate. Alternatively, increasing humidity causes expansion of

the adhesive. And the stress concentration of the adhesive in the V groove caused by these phenomena cannot be sufficiently by the thin adhesive layer.

A fiber array is mounted by an adhesive, and is formed in its complicated shape. Thus, there exists a variety of stresses such as partial strong stress or entire stress between the substrate and the cover plate. The partial stress occurs at a resin stay portion such as the periphery of the fibers in a V groove, as described above. This is believed to be due to a mechanism in which the stress of the adhesive stay portion indicated by A shown in FIG. 5 is applied to a portion indicated by B, and if the adhesive layer of this portion is thin, this stress cannot be absorbed, and a release occurs with the portion of B.

For example, if a release occurs with a portion such as B, the entire stress is applied to this portion or water invades the release portion, whereby such release is further expanded.

The above construction is provided in response to such action of adhesive, whereby the adhesive layer can absorb the stress concentration, and a release is unlikely to occur between the optical fiber and the substrate or fixed substrate even under severe environment. Then proper characteristics can be

maintained.

Additional objects and advantages of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and obtained by means of the instrumentalities and combinations particularly pointed out hereinafter.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate presently preferred embodiments of the present invention and, together with the general description given above and the detailed description of the preferred embodiments given below, serve to explain the principle of the present invention.

FIG. 1 is an enlarged sectional view of an optical fiber array showing an example according to the preferred embodiments of the present invention;

FIG. 2A to FIG. 2H are photographs for explaining how a fiber array adhesive portion is changed due to environment testing, where FIG. 2A to FIG. 2D each show a construction of the present invention, FIG. 2E to FIG. 2H each shows a conventional construction;

FIG. 3 is an illustrative view of a holding member

used in environment testing shown in FIG. 2;

FIG. 4 is a perspective view of an optical fiber array;

FIG. 5 is an enlarged sectional view illustrating one fiber portion shown in FIG. 4:

FIG. 6A to FIG. 6C each show how is an adhesive layer end of an optical fiber array, where FIG. 6A is a sectional view illustrating the present invention, FIG. 6B is a sectional view illustrating another example of the present invention, and FIG. 6C is a sectional view illustrating a conventional example; and

FIG. 7A and FIG. 7B show a construction of a V groove rear end of the optical fiber array, where FIG. 7A is an illustrative side view of essential parts according to the present invention, and FIG. 7B is an illustrative side view of conventional essential parts, wherein reference numeral 1 denotes an optical fiber, reference numeral 2 denotes a holding member, reference numeral 3 denotes a substrate, reference numeral 3a denotes a step, reference numeral 4 denotes a V groove, reference numeral 5 denotes a cover plate, reference numeral 6 denotes an adhesive layer, reference numeral 6a denotes an adhesive, reference code L denotes a distance from a contact point between a V groove and an optical fiber to a cover plate, reference code M

denotes a distance from a center of a housing groove at the outer most portion of the substrate to a substrate end, reference code P denotes a contact point between a V groove and an optical fiber, and Y denotes thickness of an adhesive layer.

DETAILED DESCRIPTION OF THE EMBODIMENT

Reference will now be made in detail to the presently preferred embodiments of the invention as illustrated in the accompanying drawings, in which like reference numerals designate like or corresponding parts.

Hereinafter, preferred embodiments of the present invention will be described in detail with reference to the accompanying drawings.

FIG. 1 is an enlarged sectional view of an optical fiber array according to the present invention, wherein reference numeral 1 denotes an optical fiber (a bare optical fiber), reference numeral 2 denotes a holding member that consists of a substrate 3 and a cover plate 5, a V groove 4 is formed at the substrate 3, and an optical fiber 1 is housed in the V groove 4. In addition, an adhesive 6a is filled at the periphery of the optical fiber 1 and between the substrate 3 and the cover plate 5. An opening angle of the V groove 4 is 70 degrees, for example, and the optical fiber 1 is formed to be

62.5 microns in radius, for example.

The V groove 4 is formed so that a part of the optical fiber 1, being housed therein, is slightly protruded from the upper face of the substrate 3, and is formed so that its protrusion length is substantially equal to thickness of the adhesive layer 6 formed between the substrate 3 and the cover plate 5. In addition, a distance M between the center of the housing groove at the outer most portion of the substrate 3 and the substrate end (shown in FIG. 3) is 5 times or more of the optical fiber radius relevant to the radius R of the optical fiber 1.

Then, the thickness Y of this adhesive layer 6 is defined as follows when a distance L from a contact point P between the V groove 4 and the optical fiber 1 to the cover plate 5 is defined as a reference.

$$L / 6 \leq Y \leq L \dots (\text{Range } 1)$$

The thickness Y of the adhesive layer 6 is defined in the above range, whereby as shown in the test result described later, the adhesive layer 6 absorbs a stress generated from contraction generated while the adhesive is cured or a difference in thermal expansion rate or expansion rate between the substrate 3 and the cover plate 5 so that constant characteristics can be maintained without being released even under severe

environment.

In addition, from the viewpoint of adhesive, the stress caused by the adhesive increases particularly with higher Young Modulus of the adhesive. For example, in the case where an epoxy based adhesive is employed, the Young Modulus is generally high as compared with an acryl based or silicon based adhesive. Thus, the stress is also increased, and the adhesive layer structure of the present application is effective. In particular, when an epoxy based adhesive of Young Modulus not less than 2 kgf / mm² is used, the stress is particularly increased. Thus, the adhesive layer structure of the present application is effective.

The adhesive used here denotes one which is used for adhering of at least V groove periphery (adhering and bonding of the bare fiber, cover plate and substrate). In addition, in order for the optical fiber 1 to come into reliable two point contact with the inclined face of the V groove 4, it is preferable that a realistic contact point is moved upwardly with a margin of about 10 microns from a theoretical contact point in consideration of processing precision or measurement precision. In this case, the above (range 1) is $(L - 10 \text{ microns}) / 6 \leq Y \leq (L - 10 \text{ microns})$.

Table 1 compares changes of the adhesive portion

when environment test (boiling test) is carried out by a fiber array created by changing thickness Y of the adhesive layer 6, where the fiber array is soaked in boiling water to investigate an occurrence of the release after a predetermined time has elapsed. In the table, O denotes a good state in which no release has occurred, Δ denotes a state in which partial release has occurred, and X denotes a state in which an extensive release has occurred.

Table 1

Thickness of adhesive layer Y	Boiling time			
	15hr	36hr	60hr	
L/2	O	O	O	Photograph
L/4	O	O	O	
L/6	O	O	Δ	
L/8	X	X	X	Photograph

FIG. 2A to FIG. 2H are photographs for explaining how an optional fiber array adhering portion is changed due to the above environment test, wherein FIG. 2A to FIG. 2D are photographs of $Y = L / 2$ shown in Table 1, and FIG. 2E to FIG. 2H are photographs of $Y = L / 8$ in a conventional example. In addition, the holding member 3 of the optical fiber array taken as each of the

photographs shown in FIG. 2 is schematically shown in FIG. 3.

FIG. 3 is a plan view of the holding member. As shown in the figure, the holding member 2 provided for environment test has three groups each consisting of eight grooves on substrate 3. An optical fiber array is formed in such a way that optical fibers 1 are housed in the holding member 2, adhesive is applied, and then, the cover plate 5 is adhered. The protrusion quantity of the optical fiber 1 from the substrate is changed by changing the size of V groove so that the thickness Y of the adhesive is set to its desired thickness.

In FIG. 2, although there is no change after 60-hour boiling in the left photographs A to D, it is possible to verify that there occurs a spot-like pattern on main adhesive faces 8, 8 of the left and right of the substrate after 15 hours and on in the right photographs E to H, and a portion in a different state from a state before boiled occurs between the optional fiber groups as well. These sites are where adhesive has been released. From these photographs, in the case where the right side, i.e., the thickness Y of the adhesive layer is $L / 8$, it is found that release has already occurred after 15 hour boiling. That is, when the left side, i.e., Y is $L / 2$, no release occurs even

after 60 hour boiling.

Thus, from the test result, it can be judged that, as long as a distance between the center axis of the housing groove at the outermost portion and the substrate end is 5 times or more of the optical fiber radius, and the adhesive layer thickness Y is $L / 6$ or more, the release hardly occurs, and the optical fiber array can be used even under severe environment. Further, if the adhesive layer thickness Y is $L / 4$ or more, it can be judged that no release occurs, and a good state can be continuously maintained.

In the meantime, the optical fiber array is generally formed so that the fibers are pressed in the substrate V groove 4 by the cover plate 5. Although optical grinding is applied to the tip end face of the optical fiber array, the fiber end face is required to be set to a desired angle. Thus, in general, grinding is performed when a side face parallel to the V groove 4 is defined as a reference during grinding. At this time, although the side face of the substrate 3 can be easily processed so as to be parallel to the V groove 4, the cover plate 5 is merely loaded on the optical fibers 1. Thus, it is not easy that the side face of the cover plate 5 is parallel to the optical fibers 1.

Therefore, it is natural that the grinding

standard face is on the side face of the substrate 3 so that the cover plate 5 should not be at the outside of the right and left of the substrate 3 in order to ensure this state. As a measure, the width of the cover plate 5 is made smaller than that of the substrate 3, whereby slight displacement can be permitted, thus making it possible to apply the cover.

In this case, as shown in FIG. 6 that is the sectional view illustrating the optical fiber array, the adhesive 6a is pooled at a stepped portion C in a meniscus manner, which provides an effect of increasing adhesive force. However, in the case where the adhesive layer is thin, the stress caused here results in an occurrence of release (the mechanism in which a release occurs is similar to that in V groove). In particular, this site is situated at the outside, and is subjected to open air. Thus, if a release occurs, it permits moisture to easily invade, which accelerates the progress of release.

In this manner, this site is an important portion that prevents a release. As shown in FIG. 1, as long as a large adhesive layer is ensured, the stress does not concentrate, and a release hardly occurs. Thus, the construction according to the present invention can ensure high reliability in the same way as the V groove

portion. FIG. 6A is a sectional view illustrating an optical fiber array according to the present invention, and FIG. 6C is a sectional view illustrating a conventional optical fiber array.

As shown in FIG. 6B, even if the width of the cover plate is greater than that of the substrate, a meniscus-like pool portion is formed at the stepped portion C. The construction of the present invention, i.e., a large adhesive layer is ensured, whereby the stress does not concentrate, a release does not occur, and high reliability can be ensured in the same manner as the V groove portion. However, as described above, the end face of the optical fiber array is hardly ground precisely, and thus, the cover plate is preferably formed to be smaller than the substrate in width.

As shown in FIG. 7A that is a view illustrating a side face of the optical fiber array, in the case where a step 3a is provided at the rear end of a V groove in order to reduce the concentration of the stress applied to the tip end of the optical fiber, and a cover portion mount face is provided at the rear part of the substrate by one stage lower than a V groove forming face, a large amount of adhesive 6a exists in this step 3a. Thus, the stress at this portion caused by the adhesive concentrates on the adhesive layer 6 between the

substrate 3 and the cover plate 5. In the case of such structure as well, this construction is particularly effective.

Further, in FIG. 7A, although R is provided at the end at the substrate side of the cover plate 5, a taper or R is preferably applied to the substrate side in this manner in order to reduce the stress more significantly. Namely, a large amount of adhesive thickness is made gradually closer to the adhesive thickness Y, whereby the stress concentration can be further prevented.

With respect to this stepped portion, an optical fiber is easily damaged by an edge of the step. In the case where a release occurs even partially at this portion, there is apprehension that the damage of the fiber due to the step is further accelerated by the function of stress. Thus, it is very important that this portion is prevented from a release.

In addition, in the above embodiments, when the optical fibers are fixed by pinching them between the substrate and the cover plate, the cover plate is abutted against the optical fiber so that the thickness of the adhesive layer is equal to the height of the protrusion on the substrate of the optical fibers. However, as long as the optical fibers securely come into contact

with a V groove at two points, the cover plate may not be abutted with the optical fiber. Further, although a plurality of V grooves are provided on the substrate, even if one V groove exists, an adhesive layer is formed as described above, whereby good characteristics can be maintained.

(Advantageous Effect of the Invention)

As has been described above in detail, according to the invention according to the first to fourth aspects, even under severe environment, a release hardly occurs between the optical fiber and the substrate or fixed substrate, and good characteristics can be maintained.

According to the fifth aspect of the present invention, in addition to advantageous effect of any one of the first to fourth aspects, the width of the cover plate is different from that of the substrate. Thus, a meniscus-like adhesive pool portion is formed, and adhesive force increases.

According to the sixth aspect of the present invention, in addition to advantageous effect of any one of the first to fifth aspects, the stress applied to the tip end of the optical fiber is reduced, and a release hardly occurs.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore,

the invention in its broader aspect is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira MATSUMOTO and Masashi FUKUYAMA

Filed: Concurrently Herewith

For: OPTICAL FIBER ARRAY

Box Patent Application
Assistant Commissioner for Patents
Washington DC 20231

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box Patent Application, Assistant Commissioner for Patents, Washington D.C. 20231 on March 28, 2001 under "EXPRESS MAIL" mailing label number EL742732875US.

Janet M. Stevens

PRELIMINARY AMENDMENT

Sir:

Prior to examination, Applicants wish to amend the subject application as follows:

In the Specification:

Page 7, between lines 9 and 10, please add the following paragraph.

The file of this patent contains at least one drawing or photograph executed in color. Copies of this patent with color drawing(s) or photograph(s) will be provided by the Patent and Trademark Office upon request and payment of the necessary fee.

In the Claims:

Please rewrite claims 3-6 as follows:

3. (Amended) An optical fiber array as claimed in claim 1, wherein the distance Y between the substrate and the cover plate is $L / 4 \leq Y \leq L$.

4. (Amended) An optical fiber array as claimed in claim 1, wherein the adhesive is epoxy-based.

5. (Amended) An optical fiber array as claimed in claim 1, wherein the width of the cover plate is different from that of the substrate.

6. (Amended) An optical fiber array as claimed in claim 1, wherein a placement face for placing a cover portion of optical fiber is provided at the rear part of a housing groove forming face, and a step is provided between the housing groove forming face and the placement face, thereby placing and housing the optical fiber.

REMARKS

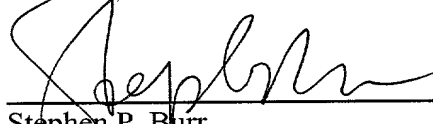
Prior to examination, Applicants respectfully request entry of this Amendment in which the specification has been amended to correct minor informalities.

Claims 1-6 are pending herein. Applicants have amended the claims to eliminate multiple dependent claims. No new matter has been added. Applicants believe the case is now in condition for examination.

Attached hereto, pursuant to Rule 121, is a marked up version of the changes made to page 7, between lines 9 and 10, and to claims 3 through 6 of the present application.

If the Examiner believes that contact with applicants' attorney would be advantageous toward the disposition of this case, he is herein requested to call applicants' attorney at the phone number noted below.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

March 28, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Page 7, between lines 9 and 10, please add the following paragraph:

The file of this patent contains at least one drawing or photograph executed in color. Copies of this patent with color drawing(s) or photograph(s) will be provided by the Patent and Trademark Office upon request and payment the of necessary fee.

Changes made to claims 3-6 are as follows:

3. (Amended) An optical fiber array as claimed in claim 1 ~~or claim 2~~, wherein the distance Y between the substrate and the cover plate is $L / 4 \leq Y \leq L$.

4. (Amended) An optical fiber array as claimed in any of claims 1 to ~~3~~ claim 1, wherein the adhesive is epoxy-based.

5. (Amended) An optical fiber array as claimed in any of claims 1 to ~~4~~ claim 1, wherein the width of the cover plate is different from that of the substrate.

6. (Amended) An optical fiber array as claimed in any of claims 1 to ~~5~~ claim 1, wherein a placement face for placing a cover portion of optical fiber is provided at the rear part of a housing groove forming face, and a step is provided between the housing groove forming face and the placement face, thereby placing and housing the optical fiber.

WHAT IS CLAIMED IS:

1. An optical fiber array comprising a holding member that consists of a substrate forming a sectional V shaped housing groove for housing an optical fiber on a top face, said optical fiber having an optical fiber tip end bare portion housed in said holding member, and filling an adhesive between the substrate and a cover plate so as to fix the optical fiber to the housing groove, wherein a distance between a center axis of the housing groove that is an outermost portion and an end of the substrate is 5 times or more than the radius of the optical fiber, and a distance Y between the substrate and the cover plate is $L / 6 \leq Y \leq L$ relevant to a distance L from a contact point between the housed optical fiber and the housing groove to the cover plate.
2. An optical fiber array as claimed in claim 1, wherein a height of a site protruding from the substrate of the optical fiber housed in the housing groove is substantially equal to the distance Y between the substrate and the cover plate.
3. An optical fiber array as claimed in claim 1 or claim 2, wherein the distance Y between the substrate and the cover plate is $L / 4 \leq Y \leq L$.
4. An optical fiber array as claimed in any of claims 1 to 3, wherein the adhesive is epoxy-based.

5. An optical fiber array as claimed in any of claims 1 to 4, wherein the width of the cover plate is different from that of the substrate.

6. An optical fiber array as claimed in any of claims 1 to 5, wherein a placement face for placing a cover portion of optical fiber is provided at the rear part of a housing groove forming face, and a step is provided between the housing groove forming face and the placement face, thereby placing and housing the optical fiber.

ABSTRACT OF THE DISCLOSURE

An optical fiber array is provided in which an optical fiber tip end is housed in a holding member 2. The holding member 2 consists of a substrate 3 and a cover plate 5. The substrate 3 forms a sectional V shaped housing groove (V groove 4) for housing the optical fiber 1 on the top face. The substrate 5 covers the top face of the substrate 3. Then, an adhesive is filled between the substrate 3 and the cover plate 5, and an optical fiber 1 is fixed in the housing groove. At this time, a distance Y between the substrate 3 and the cover plate 5 is $L / 6 \leq Y \leq L$ relevant to a distance L from a contact point between the housed optical fiber 1 and the housing groove to the cover plate 5. In this manner, even under severer environment, a release of the holding member or the like hardly occurs, and good characteristics are maintained.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspect is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

Practitioner's Docket No.: 939_023

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Akira MATSUMOTO and Masashi FUKUYAMA

Filed: Concurrently Herewith

Title: OPTICAL FIBER ARRAY

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on March 28, 2001 under "EXPRESS MAIL" mailing label number **EL742732875US**.

Janet M. Stevens

PETITION TO ACCEPT COLOR DRAWINGS
(37 CFR 1.84(a)(2))

Filed herewith are Figs. 2(a) to 2(h) of this application. As required, three sets of these color drawings are attached.

The color drawings are necessary because they are the only practical medium by which certain aspects of the subject matter sought to be patented may be disclosed. Each color drawing qualitatively depicts how a fiber array adhesive portion changes during environmental testing. Figs. 2a to 2d each show a construction of the present invention while Figs. 2e to 2h show conventional constructions.

A Preliminary Amendment is filed herewith to incorporate references to the color drawings, labeled 2a to 2h.

Attached is the appropriate fee set forth under 37 C.F.R. § 1.17(i) in the amount of \$130.00.

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March 28, 2001

Date

Respectfully submitted,

Stephen P. Burr
Reg. No. 32,970

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 25191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

FIG. 1

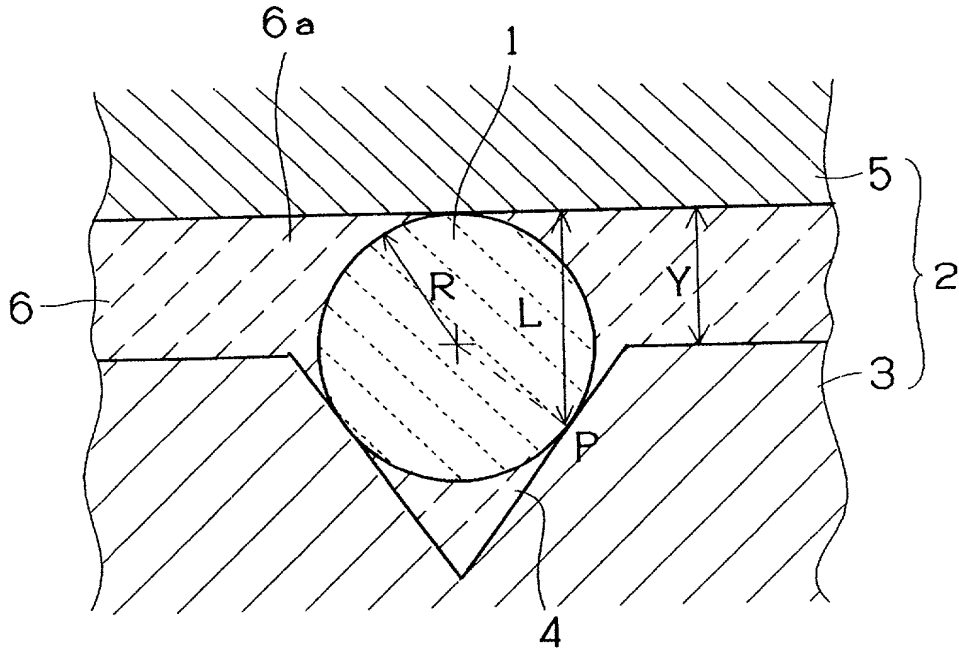


FIG. 2

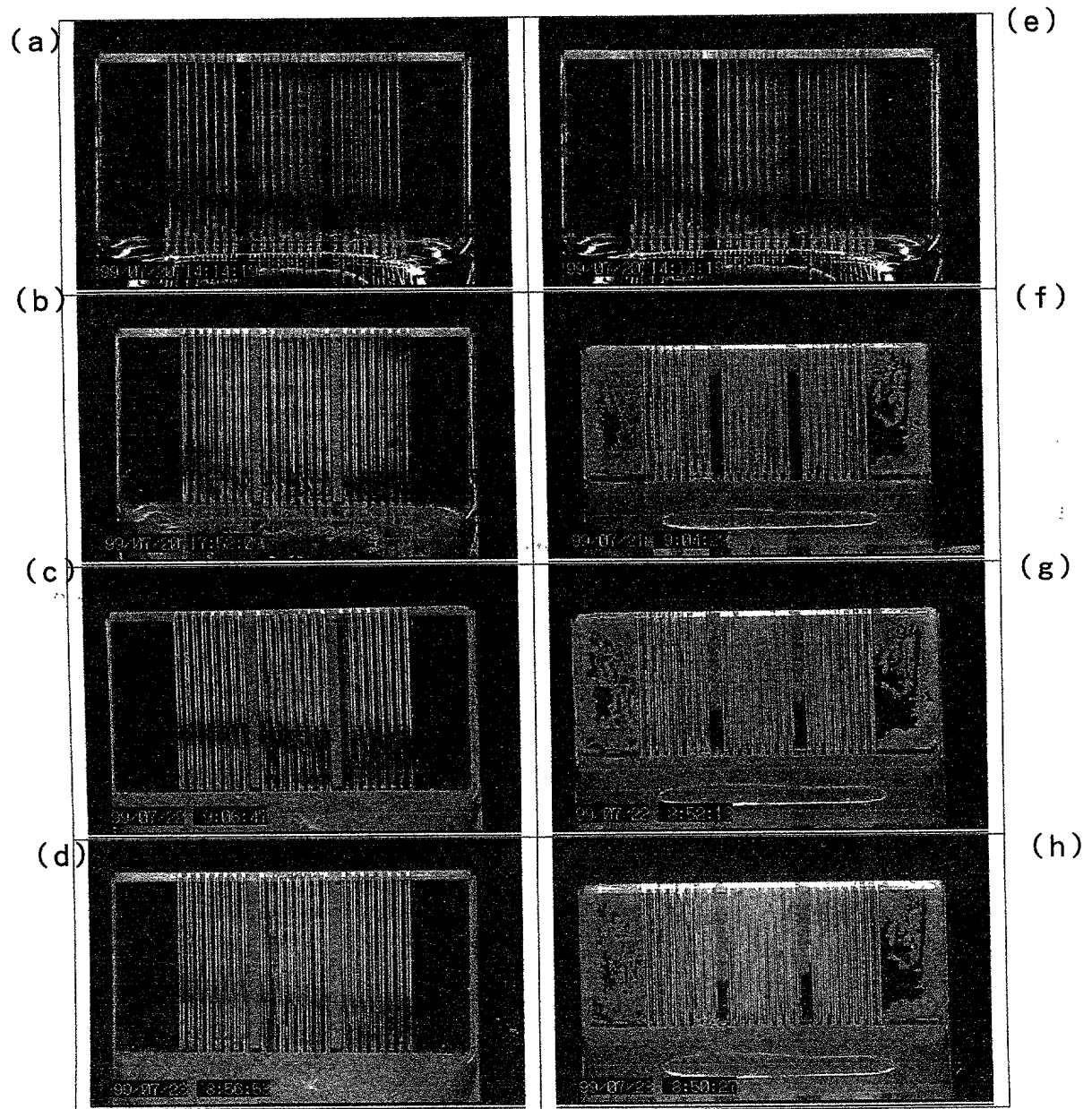


FIG. 3

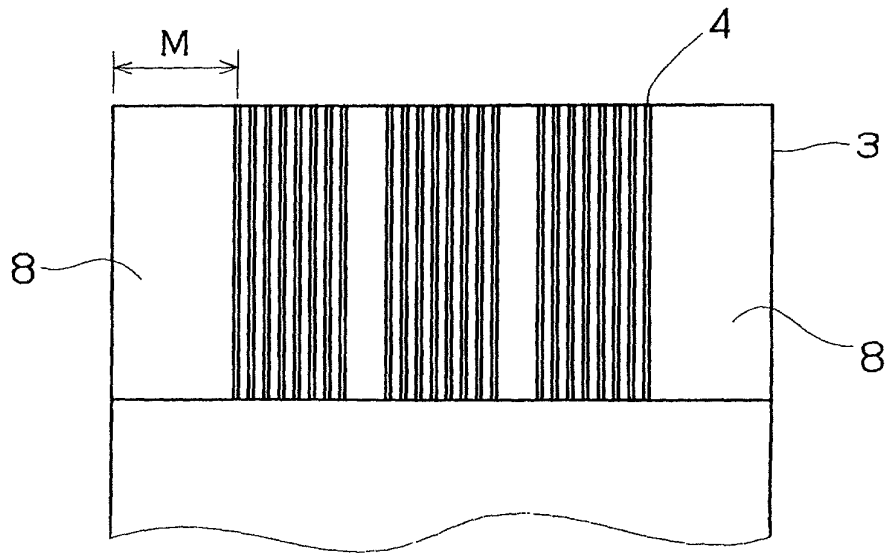


FIG. 4

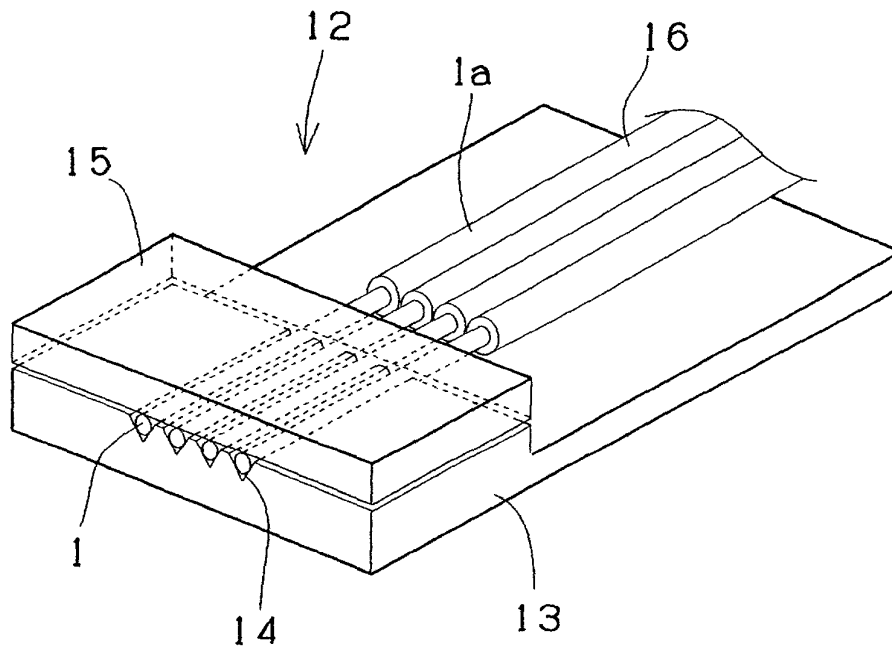


FIG. 5

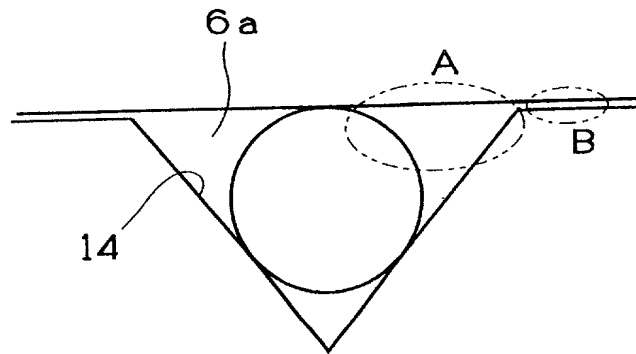


FIG. 6

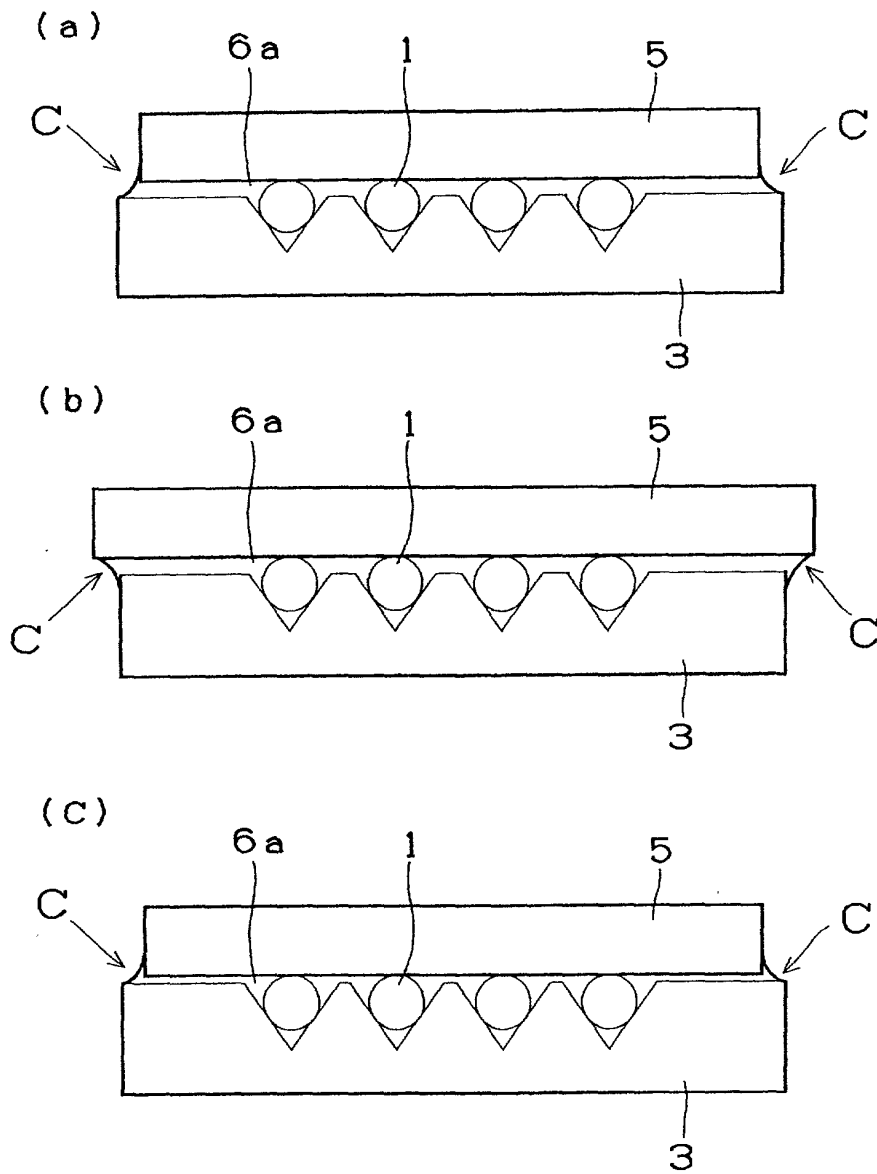


FIG. 7

